



**Financial Services
Commission
of Ontario**
5160 Yonge Street
Box 85
Toronto ON M2N 6L9

Licensing and
Market Conduct
Division

Application for Loan and Trust Corporation Registration

(new and change)

*Loan and Trust Corporations Act, R.S.O. 1990, c.L.25 as
amended ("the Act")*

PART 1 - General Information

Name of corporation		
Additional business name or style, if any, under which the corporation is, or is to be, registered		
Address of the head office Street address	City	Postal code
Address of the principal place of business in Ontario Street address	City	Postal code
Name and address(es) of the Chief Agent in Ontario Last name	First name	Middle name
Business address Street address	City	Postal code

Please notify the Financial Services Commission of Ontario of any changes to the Chief Agent information.

PART 2 - Type of Application

Indicate the type of application being made by checking **one** applicable box.

Application for registration as a loan corporation.

Application for registration as a trust corporation.

If an Application for Initial Registration, provide the date on which the corporation was authorized to carry on business under the *Trust and Loan Companies Act, S.C. 1991, c.45.* _____

Application for a change of registration

from a registered loan corporation to a trust corporation; or

from a registered trust corporation to a loan corporation

PART 3 – Additional Information Required

The applicant shall provide the following:

- I. a short summary of the proposed plan of operations both for the corporation as a whole and for the operations of the corporation in Ontario;
- II. a copy of the incorporating instruments, including amending instruments of the corporation certified as to authenticity by the Corporate Secretary;
- III. a copy of the Order to Commence and Carry on Business certified as to authenticity by the Corporate Secretary;
- IV. a list of the officers and directors of the corporation. Please notify the Financial Services Commission of Ontario of any changes to the officers and directors of the corporation;
- V. the required application fee; and
- VI. where the applicant is a corporation, a copy of the resolution of the board of directors authorizing this application certified by the Corporate Secretary.

Please ensure that **all** of the above noted items are included with your submission at time of application.

PART 4 – Certification

The applicant or applicants certify that the information given in this application and any additional information that may be provided as requested by the Superintendent in connection with this application is true and complete in every respect.

Dated this _____ day of _____ 20____

Signature (s) of witnesses

Signature(s) of the applicant(s) (including description of the office of the signatories and the corporate seal where appropriate):

_____	_____
_____	_____



**Financial Services
Commission
of Ontario**
5160 Yonge Street
Box 85
Toronto ON M2N 6L9

Licensing and
Market Conduct
Division

NOTIFICATION

(Pursuant to the *Freedom of Information and Protection of
Privacy Act*, R.S.O. 1990, c. F.31)

Notification to be sent with Application for Registration Form

LEGAL AUTHORITY FOR THE COLLECTION

Loan and Trust Corporations Act, R.S.O. 1990, c. L.25 as amended.

PRINCIPAL PURPOSE FOR WHICH THE PERSONAL INFORMATION IS INTENDED TO BE USED

The Superintendent of Financial Services will use the information in this application form to determine whether an applicant corporation should be registered, whether the registration of a corporation ought to be changed, or whether the terms, conditions, and restrictions of registration of a corporation should be amended as the case may be, according to s.31 of the Act.

THE PUBLIC OFFICIAL WHO CAN ANSWER QUESTIONS ABOUT THE COLLECTION IS

Manager, Licensing & Registration Analysis
Licensing and Market Conduct Division
Financial Services Commission of Ontario
5160 Yonge Street, 4th Floor
Box 85
Toronto, Ontario
M2N 6L9

Tel: (416) 250-7250 (24hr. automated telephone line)
Toll Free: 1-800-668-0128
Fax: (416) 226-7838

CERTIFICATION

I/We, the Applicant(s) hereby certify that the individual(s) listed in the attached application and the brief attached thereto have been notified of the filing of the personal information and have been told of the legal authority for the collection of the information, of the principal purpose for which the information is intended to be used, and of the public official who can answer questions about the collection

SIGNATURE OF APPLICANT(S)

TITLE

DATE (yyyy/mm/dd)

_____	_____	_____
_____	_____	_____
_____	_____	_____