

## **MINISTER'S SCHEDULE OF REQUIRED FEES**

The following links will take you to the fees made under the identified legislation:

- Financial Services Commission of Ontario Act
- Co-operative Corporations Act
- Credit Unions and Caisses Populaires Act
- Insurance Act
- Loan and Trust Corporations Act
- Mortgage Brokers Act
- Pension Benefits Act

**MINISTER'S SCHEDULE OF REQUIRED FEES**

Fees made under the *Financial Services Commission of Ontario Act, 1997*

<b>Fees Made under the <i>Financial Services Commission of Ontario Act, 1997</i>, subsection 27(1):</b>	
<b>DESCRIPTION</b>	<b>FEE</b>
1) Photocopies of documents except where a fee is specifically provided under another Schedule	\$0.50 per page (\$5.00 minimum)
2) Certificates issued by the Superintendent - section 16	\$25

## MINISTER'S SCHEDULE OF REQUIRED FEES

Fees made under the *Co-operative Corporations Act*.

<b>Fees made under the <i>Co-operative Corporations Act</i>, subsection 187 (1):</b>	
<b>DESCRIPTION</b>	<b>FEE</b>
1) Name reservation - section 12(1)	\$15
2) Restated articles of incorporation - section 155(3)	\$100
3) Amendments of articles - section 154(1)	\$100
4) Certificate for continuation - section 158(1)	\$200
5) Application for a certificate of amendment to convert to OBCA corporation - section 152(1)	\$200
6) Document searches - section 181(1)	\$5
7) Certification of copies or microfiche copies - section 181(2)	\$10
8) Certificate in respect of a co-op - section 6(1)	\$50
9) Offering statement (if value of securities is more than \$50,000) - section 34(1)	\$50
10) Cost of incorporating a new co-operative - section 4(1)	\$335 or \$155 if non profit organization
11) Orders, a) - section 118(3) for removal of records b) - section 118(3) for rescinding an order for removal of records c) - section 167(3) to revive co-operative	\$200 \$200 \$200
12) Authorization by Minister to transfer out of jurisdiction section 159	\$200

## MINISTER'S SCHEDULE OF REQUIRED FEES

Fees, made under the *Credit Unions and Caisses Populaires Act, 1994*.

<b>Fees, made under the <i>Credit Unions and Caisses Populaires Act, 1994</i>, section 321.6:</b>	
<b>DESCRIPTION</b>	<b>FEE</b>
1) Applying for the incorporation of a new credit union/caisse populaire - section 15(1)	\$2,500
2) Approval of the articles of incorporation - section 16(1)	\$2,500
3) Receipts for offering statements, based on face amount - section 77(1)	\$2,500+50 basis points - maximum \$25,000
4) Receipts for statement of material change, based on face amount - section 80(1)	\$1,500+25 basis points
5) Approval for capital variation - section 86	\$5,000
6) Application by credit union/caisse populaire to be released from supervision: a) supported by DICO - section 285(4) b) not supported by DICO - section 285(4)	No Charge \$2,500
7) Approval for a subsidiary - section 200	\$2,500
8) Approval for amalgamation - section 309	\$5,000
9) Approval for application for purchase or sale of assets - section 203	\$1,000
10) Applications and approvals for lending licences. a) Fee for an increase or variation in personal and/or residential mortgage lending with assets: i) less than \$10 Million - section 196 ii) more than \$10 Million - section 196 b) Fee for new lending licenses other than personal or residential - section 196 c) Fee to vary or change licenses other than personal or residential section 196	\$250 per application \$500 \$2,500 \$1,000
11) Applications: a) Deeming Affiliation - section 5 b) Bond of Association - section 30 c) Stated Capital Reduction - section 72 d) Approval to Deal in Goods or Trade - section 174 e) Variation from A Prescribed Lending Limit - section 195	\$500 \$500 \$500 \$500 \$500
12) Applications: a) Language and Form of Name - section 19 b) Restrictions re Names - section 21 c) Reserving a Name - section 22 d) Payment to Withdrawing or Expelled Member - section 48(6) e) Approval of Holding Own Shares - section 61 f) Withdrawals by Negotiable Instrument - section 182 g) Borrowing Power - section 183 h) Loans to Members - section 194	\$250 \$250 \$250 \$250 \$250 \$250 \$250 \$250
13) Examining and passing on applications or documents not specifically referred to in the Fee Schedule	\$500
14) Certificates of Registration	\$50

## MINISTER'S SCHEDULE OF REQUIRED FEES

Fees made under the *Insurance Act*.

<b>Fees made under the <i>Insurance Act</i>, section 121.1:</b>	
<b>DESCRIPTION</b>	<b>FEE</b>
1) License a new insurance company where Ontario is the primary regulator (ie for a provincially incorporated company) - section 40(1)	\$4,000
2) Application for a section 110 receipt	\$200
3) Annual examination fee for Ontario incorporated life insurance companies. - section 443	\$5,000 per company plus balance of OSFI's cost, pro-rated on gross premium revenue of the companies
4) Change of Name for Agents' Licence - section 393	\$25
5) Agent and adjuster licensing fees: a) Agents (all) - section 393(3), (6), (11) b) Agent companies - section 400 c) Agent partnerships - section 399(1) d) Adjusters - section 397(1) e) An Adjuster that is a proprietor, partnership, or a corporation - sections 399(1), 400(1)	\$150 per 2-year licence \$400 per 2-year licence \$200 per 2-year licence \$75 per 1-year licence \$200 per 1-year licence
6) Transfers - section 422	\$50 per transfer
7) Application for arbitration - section 282(1)	\$100 per arbitration application
8) Appeal of an order of an arbitrator, for Notice of Appeal - section 283	\$250 per appeal application
9) Duplicate licence for Agents - section 393(1)	\$25 per copy
10) Certificates of Authority (other Provinces)	\$25 per certificate
11) Certificate or Superintendent's letter attesting to the Status of a Licence	\$25 per certificate or letter
12) Certificate issued by the Superintendent under section 25(2) of the Act	\$25 per certificate
13) Application to vary or revoke an order - section 284	\$250 per application
14) Photocopying: rate manuals per category of automobile insurance	\$100

## MINISTER'S SCHEDULE OF REQUIRED FEES

Fees made under the *Loan and Trust Corporations Act*.

<b>Fees made under the <i>Loan and Trust Corporations Act</i>, subsection 223.1(2):</b>	
<b>DESCRIPTION</b>	<b>FEE</b>
1) Application to approve a restricted party transaction - section 145(1)	\$2,500
2) Supplementary letters patent to modify or alter the share structure of the corporation - section 10(3)(a)to(h)	\$1,000
3) The fee for processing an application for, a) initial registry of a corporation - section 31(5) b) changing a loan corporation to a trust corporation or vice versa - section 31(2) c) changing the terms, conditions and restrictions of registration - section 31(3)	\$2,500 \$1,000 \$1,000
4) Revival of registration after dissolution - section 15(3)	\$1,000
5) Processing an application for an increase in borrowing multiple - section 157(3)	\$1,000
6) Certificate issued by the Superintendent other than the certificate respecting the registration of a corporation	\$25
7) Examining and passing on applications or documents not specifically referred to in the Fee Schedule	\$1,000
8) Application to obtain consent of Superintendent to the transfer of shares where the transfer does not result in a change of control - section 63	\$250
9) Filing and processing an application for supplementary letters patent - section 10(5)	\$500
10) Application for letters patent of incorporation for a loan corporation - section 8	\$5,000
11) Issuance of letters patent of incorporation for a loan corporation - section 9	\$5,000
12) Supplementary letters patent, a) to change a corporation's name - section 10(1)(a) b) to continue a provincial loan corporation as a trust corporation - section 10(1)(b) c) to continue a provincial trust corporation as a loan corporation - section 10(1)(c) d) to change the municipality or township in which the principal place of business of the corporation is to be location - section 10(1)(d) e) to amalgamate two or more corporations and to continue them as one corporation - section 10(2)	\$2,500 \$2,500 \$2,500 \$2,500 \$2,500
13) Application to obtain consent of Superintendent to the transfer of shares where such transfer results in the change of control of the corporation - section 63	\$2,500
14) Examining the Loan or Trust Register or the public file of a corporation - section 139(2)	\$20 per register or file

## MINISTER'S SCHEDULE OF REQUIRED FEES

Fees made under the *Mortgage Brokers Act*.

Fees made under the <i>Mortgage Brokers Act</i> , subsection 34 (2):	
DESCRIPTION	FEE
1) Application fee for registration or renewal of registration for all mortgage brokers - section 4	<p>\$275 for applicants employing fewer than 2 persons who are authorized to arrange or deal in mortgages;</p> <p>\$275 per person for each additional person who is</p> <ul style="list-style-type: none"><li>- an active officer or director of a corporation that is the applicant;</li><li>- each individual member of a partnership, or of an association, or of a syndicate or of an organization of individuals;</li></ul> <p>\$275 per person for each additional person in respect of whom the applicant is required to give notice to the Superintendent under section 3(9) of Reg. 798.</p> <p>Where individuals become authorized to arrange or deal in mortgages on behalf of the applicant, between renewal periods, the fee is pro-rated by the number of months remaining until the applicant's next renewal.</p>

## MINISTER'S SCHEDULE OF REQUIRED FEES

Fees made under the *Pension Benefits Act*.

<b>Fees made under the <i>Pension Benefits Act</i>, section 113.1:</b>	
<b>DESCRIPTION</b>	<b>FEE</b>
1) Fee to produce documents/labels providing names, addresses, and other pieces of information from database.	\$125 per request
2) Fee for costs and expenses incurred by the Superintendent in connection with the investigations, preparation and issuance of a Notice of Proposal to require a pension plan to be partially or fully wound up for any reason under subsection 69(1) except clause 69(1)(c). The fee is payable by the employer, or in the case of a MEPP, by the administrator and is payable only if an Order requiring a full or partial wind up of the pension plan is issued by the Superintendent or made or directed by the Financial Services Tribunal.	\$2,500
3) Fee for application for registration of a pension plan - section 9(2)	\$250
4) Fee for filing an Annual Information Return required under subsection 20(1) - for pension plans that provide only defined contribution benefits with a filing due date on or after June 30, 2004, and for all other plans with a filing due date on or after September 30, 2004.	\$6.15 per member plus \$4.25 per former member and any other person who is the surviving spouse, or beneficiary of a former member of a pension plan, if the surviving spouse, or beneficiary is receiving a pension from the plan as a result of the death of the former member, with a \$250 minimum and \$75,000 maximum.
5) Fee for late filing of Annual Information Return - section 20(1)	10% penalty in addition to the original filing fee if the Return is delivered more than six months after the end of the fiscal year in the case of a plan that provides only defined contribution benefits, or more than nine months after the end of the fiscal year of a plan in the case of any other plan.
6) Fee for consent to the commutation or surrender of a prescribed retirement savings arrangement by the Superintendent of Financial Services under subsection 67(5) of the Act.	2% of the amount consented to be commuted or surrendered excluding any amount for withholding tax or for payment of this fee, with a minimum of \$200 and a maximum of \$600.