

**SAMPLE CONTENT OF THE ADMINISTRATOR ANNUAL
INFORMATION RETURN – THE ANNUAL INFORMATION
RETURN WILL BE AVAILABLE ON MARCH 1, 2009 THROUGH
LICENSING LINK**

The annual information return and all of its questions pertain to your business in Ontario for the period covering July 1 - December 31, 2008 and it is due no later than March 31, 2009

Trust account information

1. Has the administrator reported all trust accounts to FSCO? *Yes/ No*

If not, provide FSCO with the administrator's trust account information, including any changes through elicence@fSCO.gov.on.ca

(NOTE: The administrator's financial statements must be submitted to FSCO within 90 days of its fiscal year end)

Syndication and securitization of mortgages

2. Did the administrator syndicate mortgages? *Yes/ No*

3. Did the administrator arrange securitization facilities or make securitization sales? *Yes/ No*

(NOTE: Question #3 refers to the/a mortgage administrator providing mortgages for, or otherwise arranging, pools of mortgages, in connection with the creation of mortgage backed securities)

Operations

4. How many offices did the administrator have in total, including offices open to the public and not open to the public? #

4. a) How many offices (where business was transacted) did the administrator have in total? #

Records information

5. Are all of the administrator's records stored in electronic format? *Yes/ No*

If not, 5.a) Where are they stored? *Address*

(NOTE: Question #5 refers to the records that are required to be maintained under the Mortgage Administrators: Standards of Practice)

Unimpaired working capital

6. Did the administrator maintain the required \$25,000 of unimpaired working capital or such other arrangement as approved by the Superintendent? *Yes/ No*

Complaints and complaint handling

7. Name of person designated to receive complaints:

8. Total number of written complaints received: #

9. Total number of written responses to complainants: #

(NOTE: The administrator must respond, in writing, to all written complaints that are received)

Did any of the following occur in the reporting period covered by this annual information return?

10. Is the administrator, currently being sued, or has been successfully sued, for professional negligence or misconduct (including claims paid by its errors and omissions insurance carrier or bonding company)? *Yes/ No*

If yes, 10.a) Provide a brief explanation (*maximum: 256 characters*):

11. Was a complaint made against the administrator to a regulatory body in any province, territory, state or country that was or is, based in whole or in part, on fraud, theft, deceit, misrepresentation, forgery, or similar conduct? *Yes/ No*

If yes, 11.a) Provide a brief explanation (*maximum: 256 characters*):

12. Was the administrator subject to any charges under the laws of any province, state or country? *Yes/No*

If yes, 12.a) Provide a brief explanation (*maximum: 256 characters*):

Attestation

I, _____, _____ (Title of Position at the Administrator), OF
_____ (The Administrator)

CERTIFY THAT:

I am aware that it is an offence to make a false statement to the Superintendent under the Mortgage Brokerages, Lenders and Administrators Act, 2006, "MBLAA", and that the information provided in this annual information return is true to the best of my knowledge and belief.

(NOTE: This annual information return can only be completed by a sole proprietor, a general partner of a partnership or an officer of a corporation)