



# Form 8 - Investment Information Summary

Approved pursuant to the Ontario Pension Benefits Act  
(R.S.O. 1990, c. P.8, as amended)

Please see the instructions for completing this form, which is also available as an Excel spreadsheet.

## Part 1 - Pension Plan Information

Registration number

 (101)

Name of registered pension plan

 (102)

Plan fiscal year

From:

 (103)  
yyyy-mm-dd

To:

 (104)  
yyyy-mm-dd

Is this a designated plan?

 (105)  
Y/N

If the answer in line 105 is "Y", this form does not need to be provided

## Part 2 - Certification by Plan Administrator

As the administrator of the pension plan or its authorized delegate, I certify that the information contained in this Investment Information Summary is true, accurate and complete to the best of my knowledge and belief.

Dated this  (day),  (Month),  (Year). (201)

Signature of administrator

(202)  
Full name of administrator (please print or type)

(204)  
Company name

(203)  
Title/position

Address of administrator

 (205)

Telephone number

 (206)

Facsimile number

 (207)

Email address

 (208)

Investment Information Summary

Plan registration number: \_\_\_\_\_

Plan fiscal year end: \_\_\_\_\_

**Part 3 - Statement of Changes in Net Assets**

<b>INCREASE IN ASSETS (including receivables)</b>	Current year (A)	Previous Year (B)
<b>Increase due to investments:</b>		
Net gains (or losses) on investments:		
Realized	<input type="text"/>	<input type="text"/> (301)
Unrealized	<input type="text"/>	<input type="text"/> (302)
Investment income (interest, dividends, rents, etc.)	<input type="text"/>	<input type="text"/> (303)
<b>Total increase due to investments</b> (Sum of lines 301 to 303)	<input type="text"/>	<input type="text"/> (310)
<b>Contributions:</b>		
Employer contributions (normal cost, special payments, etc.)	<input type="text"/>	<input type="text"/> (311)
Member contributions	<input type="text"/>	<input type="text"/> (312)
<b>Total contributions</b> (Lines 311+312)	<input type="text"/>	<input type="text"/> (320)
<b>Transfers from other pension plans, LIRAs, LIFs and LRIFs</b>	<input type="text"/>	<input type="text"/> (321)
<b>Other sources of increase in assets: (specify)</b>		
<input type="text"/> (323)	<input type="text"/>	<input type="text"/> (322)
<b>TOTAL INCREASE IN ASSETS</b> (Lines 310+320+321+322)	<input type="text"/>	<input type="text"/> (330)

<b>DECREASE IN ASSETS (including payables)</b>	Current year (A)	Previous Year (B)
<b>Plan expenses:</b>		
Expenses related to managing investments	<input type="text"/>	<input type="text"/> (331)
Administrative expenses:		
Professional fees	<input type="text"/>	<input type="text"/> (332)
Other expenses: (specify)		
<input type="text"/> (334)	<input type="text"/>	<input type="text"/> (333)
<b>Total plan expenses</b> (Sum of lines 331 to 334)	<input type="text"/>	<input type="text"/> (340)
<b>Benefit payments</b>	<input type="text"/>	<input type="text"/> (341)
<b>Transfer to other pension plans, LIRAs, LIFs and LRIFs</b>	<input type="text"/>	<input type="text"/> (351)
<b>Other sources of decrease in assets: (specify)</b>		
<input type="text"/> (353)	<input type="text"/>	<input type="text"/> (352)
<b>TOTAL DECREASE IN ASSETS</b> (Lines 340+341+351+352)	<input type="text"/>	<input type="text"/> (360)

<b>CHANGE IN NET ASSETS</b> (Lines 330-360)	<input type="text"/>	<input type="text"/> (370)
<b>NET ASSETS AT BEGINNING OF FISCAL YEAR</b>	<input type="text"/>	<input type="text"/> (381)
<b>NET ASSETS AT FISCAL YEAR END</b> (Lines 370+381)	<input type="text"/>	<input type="text"/> (390)

Investment Information Summary

Plan registration number: \_\_\_\_\_

Plan fiscal year end: \_\_\_\_\_

**Part 4 - Statement of Net Assets**

INVESTMENTS	Current year (A)	Previous Year (B)
Demand deposits and cash on hand		(401)
Insured contracts		(402)
Short term notes and treasury bills		(403)
Term deposits and guaranteed investment certificates		(404)
Canadian bonds and debentures		(405)
Non-Canadian bonds and debentures		(406)
Mortgage loans		(407)
Real estate debentures		(408)
Canadian stocks		(411)
Non-Canadian stocks		(412)
Real estate		(413)
Resource properties		(414)
Venture capital		(415)
Securities of real estate, resource and investment corporations		(416)
Pooled/mutual/segreated funds:		
Fixed-income funds		(421)
Equity funds		(422)
Balanced funds		(423)
Other funds: (specify)		
_____ (426)		(424)
_____ (427)		(425)
Total pooled/mutual/segreated funds (Sum of lines 421 to 427)		(430)
Investments in other asset categories not listed above (specify)		
_____ (442)		(441)
<b>TOTAL INVESTMENTS</b> (Sum of lines 401 to 430, 441, 416, 430 and 441)		(460)

ACCOUNTS RECEIVABLE		
Contributions		
Employer contributions		(461)
Member contributions		(462)
Investment income receivable (interest, dividends, rents, etc.)		(463)
Other amounts receivable: (specify)		
_____ (465)		(464)
<b>TOTAL ACCOUNTS RECEIVABLE</b> (Sum of lines 461 to 464)		(470)

ACCOUNTS PAYABLE		
Accounts payable		(471)
Other payable: (specify)		
_____ (473)		(472)
<b>TOTAL ACCOUNTS PAYABLE</b> (Sum of lines 471 to 472)		(480)

<b>NET ASSETS AVAILABLE FOR BENEFITS</b> (Lines 460+470-480)		(490)
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Investment Information Summary

Plan registration number: \_\_\_\_\_

Plan fiscal year end: \_\_\_\_\_

**Part 5 - Interrogatories**

5.01	Is the information set out in Parts 3 and 4 of this Investment Information Summary consistent with the information set out in the pension plan's financial statements for the corresponding fiscal year that are required to be filed under section 76 of Regulation 909?	<input type="checkbox"/>
5.02	Were any of the assets of the pension fund held directly by the plan administrator, rather than an external custodian of the pension fund (such as a trust company or insurance company)?	<input type="checkbox"/>
5.03	Were any of the assets of the pension fund invested in derivative instruments, other than those held in pooled or mutual funds or segregated funds?	<input type="checkbox"/>
5.04	Were any of the assets of the pension fund invested in employer-issued securities, other than those held in pooled or mutual funds or segregated funds?	<input type="checkbox"/>
	If so, enter the fair market value of such securities as of the fiscal year end: <input type="text"/> (501)	
5.05	Were the assets of the pension fund invested in any master trust?	<input type="checkbox"/>
	If so, indicate the name(s) of the master trust(s): <input type="text"/> (502)	
5.06	Were all of the assets of the pension fund invested in a name on behalf of the pension plan in accordance with clause 6(1)(b) of the Federal Investment Regulations (FIR)?	<input type="checkbox"/>
5.07	Did the plan administrator maintain records identifying all of the investments of the pension fund in accordance with section 7 of the FIR?	<input type="checkbox"/>
5.08	Did the plan have a Statement of Investment Policies and Procedures (SIPP) meeting the requirements of section 7.1 of the FIR?	<input type="checkbox"/>
5.09	Was the SIPP reviewed and confirmed or amended by the plan administrator, having regard to all known factors of the plan's portfolio of investments and loans during the plan fiscal year covered by this Investment Information Summary?	<input type="checkbox"/>
5.10	Did the plan administrator provide a copy of the most recent SIPP to the actuary to the plan?	<input type="checkbox"/>
5.11	Did the investments of the pension fund exceed the 10% quantitative limit described in section 9 of Schedule III of the FIR?	<input type="checkbox"/>
5.12	Did the investments of the pension fund comply with the 5%, 15% and 25% rules described in section 10 of Schedule III of the FIR?	<input type="checkbox"/>
5.13	Did the investments of the pension fund exceed the 30% quantitative limit described in section 11 of Schedule III of the FIR?	<input type="checkbox"/>
5.14	Did the investments of the pension fund comply with the 30% rules respecting real estate corporations, resource corporations and investment corporations described in sections 12 to 14 of Schedule III of the FIR?	<input type="checkbox"/>
5.15	Did the investments of the pension fund comply with the related party transaction rules described in sections 16 and 17 of Schedule III of the FIR?	<input type="checkbox"/>
5.16	Were all of the investments of the pension fund invested in accordance with the SIPP?	<input type="checkbox"/>
5.17	Has the situation described by any of questions 5.02 to 5.16 in this Part of the Investment Information Summary changed so that the answer to the relevant question(s) is different by the time this Investment Information Summary is filed?	<input type="checkbox"/>
	If so, list the relevant question(s): <input type="text"/> (503)	

Investment Information Summary

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Plan fiscal year end: \_\_\_\_\_

**Part 5 - Interrogatories (Cont'd)**

5.18 On what date was the SIPP last amended, or if it has never been amended, first established?  (504)

5.19 As described in the SIPP, what percentage of the assets of the pension fund were intended to be invested in the following broad asset categories:

	Asset Category	Asset Allocation Target (%)	Asset Allocation Range (%)	
			Minimum	Maximum
Specify asset categories in the next column				
Total		100%		

**Part 6 - Additional Explanations and Comments**

SAMPLE

Ce formulaire est également disponible en français